

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

#JD 5-17-14

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.	
Filing Date	
Confirmation No	
Inventorship	Booth
Applicant	
Group Art Unit	2143
Examiner	
Examiner	MS1-772US
Title: Workflow Management Based on an Integrate	ed View of Resource Identity

INFORMATION DISCLOSURE STATEMENT

RECEIVED

References -- See Attached Form PTO-1449

MAY 0 6 2004

REMARKS

Technology Center 2100

The citations listed, copies attached, are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

Respectfully Submitted,

Date: 4/30/04

Brian G. Hart Reg. No. 44,421

1

Please type a plus sign (+) inside this box + PTO/SB/2-39-00)
Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE r the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number **Application Number** 09/995.004 **TRANSMITTAL** MAY 0 6 200 Filing Date Nov 26, 2001 **FORM** Technology Center 2100 First Named Inventor **Booth** (to be used for all correspondence after initial filing) Group Art Unit 2143 WILEY, DAVID ARMAND **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number MS1-772US **ENCLOSURES** (check all that apply) After Allowance Communication **Assignment Papers** Fee Transmittal Form (for an Application) Appeal Communication to Board Fee Attached Drawing(s) Sheets of Appeals and Interferences Licensing-related Papers Appeal Communication to Group Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Other Enclosure(s) (please **Extension of Time Request** Address identify below): Terminal Disclaimer Form 1449 & Copies of 7 Cited References Express Abandonment Request Return Receipt Postcard Request for Refund Information Disclosure Statement CD, Number of CD(s)_ Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Customer Number 22801 Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Brian G. Hart; 44,421 Individual name Signature Date CERTIFICATE OF MAILING hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: April 30, 2004 Hope A. Charles Typed or printed name Signature Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

١



O TENTS TRACE

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known				
Application Number	09/995,004			
Filing Date	Nov 26, 2001			
First Named Inventor	MacLeod			
Group Art Unit	2143			
Examiner Name	WILEY, DAVID ARMAND			
Attorney Docket Number	MS1-772US			

				U.S. PATENT DOCU	JMENTS	
Examiner Initials*	Cite No.1	i Number	cument ind Code ² f known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		2001/0047385		Tuatini	11-29-2001	
		2004/0002982		Ersek et al.	01-01-2004	
		5,859,978		Sonderegger et al.	01-12-1999	
		6,463,420		Guidice et al.	10-08-2002	
		6,643,652		Helgeson et al.	11-04-2003	RECEIVED
		6,708,161		Tenorio etal	03-16-2004	MLULIVE
						MAY 0 6 2004
						Technology Center 210
			+			reciliology Center 210
 -		<u> </u>	-			
			- 		1	·· -
			-		 	
			+		 	

	FOREIGN PATENT DOCUMENTS								
Examiner	Examiner Cite	Cit-		Foreign Patent Do		Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant	
Initials	No.1	Office ³	Number ⁴	Kind Code ⁵ (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T6	
					······································				
								Ш	

Examiner	Date	
Signature	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Please type	a plus s	ign (+) inside	this box →	+
-------------	----------	----------------	------------	---

PE SCIONE

Substitute for form 1449B/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)
2 of 2

Complete if Known				
Application Number	09/995,004			
Filing Date	Nov 26, 2001			
First Named Inventor	MacLeod			
Group Art Unit	2143			
Examiner Name	WILEY, DAVID ARMAND			
Attorney Docket Number	MS1-772US			

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
		BIRON et al."XML Schema Part 2: Datatypes" published on the internet at http://www.w3.org/TR/smlschema-2/May 2001 pgs 1-21 (of 181)				
		RECEIV	E	þ		
		MAY 0 6 20	D4	Ì		
	<u> </u>	MAY 0 6 20 Technology Cent	e r 2	0		
	_		igsplace			
Examine	r	Date]		

Examiner		Date	
Signature		Considered	
	/		

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.



Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.